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(S) \$ # 24

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
**John C. Harvey and James W. Cuddihy:**

Group Art Unit: 2737

Serial No.: 08/447,496

Examiner: FAILE, A.

Filed: May 23, 1995

Attorney Docket: 05634.0121  
**RECEIVED**

For: **SIGNAL PROCESSING APPARATUS  
AND METHODS**

JAN 2 2001

OFFICE OF PETITIONS

**ATTN: APPLICATION PROCESSING DIVISION,  
SPECIAL PROCESSING & CORRESPONDENCE BRANCH**

Commissioner for Patents and Trademarks  
Washington, D.C. 20231

01/02/2001 CHGUYEN 00000062 500206 08447496

01 FC:101	710.00 OP
02 FC:102	400.00 OP
03 FC:103	990.00 OP
04 FC:117	47.00 CH 843.00 OP

**NOTIFICATION OF CHANGE IN STATUS UNDER 37 C.F.R. § 1.28(B) AND  
PAYMENT OF FEE DEFICIENCIES UNDER 37 C.F.R. § 1.28(C)**

Sir: —

Upon review of the claims as filed in the above-referenced application and the “field of use” clause in the application’s assignee’s license contract with a firm that is a large entity, it has come to the applicant’s attention that the present application requires large entity status.

Pursuant to 37 C.F.R. § 1.28(b), Attorneys for Applicants hereby provide notification that Personalized Media Communications, L.L.C. (“PMC”), the assignee of the above referenced application Serial No. 08/447,496 does not qualify for small entity status under M.P.E.P. § 509.02 and 37 C.F.R. § 1.9 for paying reduced patent fees for the above referenced application and that the claim for small entity status for the above-referenced application Serial No. 08/447,496 is hereby withdrawn.

Enclosed herewith is assignee’s check no. 3089 for the recalculated fees of \$1,673.00 to convert the above-referenced application from small to large entity status in accordance with 37 C.F.R. § 1.28(c). The following table identifies each of applicant’s

submissions to the Patent and Trademark Office ("PTO") necessitating the enclosed payment and the amount to bring the application in conformity to large entity status.

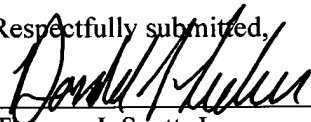
Description of Submission to PTO	Amount Deficient
New application filing:	\$365.00 1
Preliminary amendments:	\$118.00 320
Response to first action new claim fees:	\$725.00 55,990
Response to first action extension of time:	\$465.00 1 - 890
Response to second action new claim fees:	
Response to second action extension of time:	
Response to final action new claim fees:	
Response to final action extension of time:	
1.129(a) submission:	
<b>TOTAL</b>	<b>\$1,673.00</b>

Applicants respectfully request the records of the PTO be revised to indicate that the above-referenced application should now be considered the application of a large entity.

The Commissioner is hereby authorized to charge or credit the deposit account of **Hunton & Williams**, Deposit Account No. **50-0206**, for any insufficient funds or overpayments in connection with the filing of the instant papers.

Date: December 29, 2000  
**HUNTON & WILLIAMS**  
1900 K Street, N.W.  
12<sup>th</sup> Floor  
Washington, D.C. 20006

Respectfully submitted,



Thomas J. Scott, Jr.  
Reg. No. 27,836  
Donald J. Lecher  
Reg. No. 41,933  
Attorneys for Applicants  
Tel: (202) 955-1938

# Transaction List

Search by

Accounting Date:  Operator ID:   
(MMDDYY)

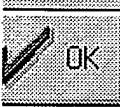


Name/Number:  08447496  
Attorney Docket No:   
Deposit Account No:   
Starts:  Ends:

Accounting Date	Operator ID	Seq. No.	Txn Src	Fee Code	St	Amount	Name/Number	Dep Acct
06/30/1997	KCOOPER	138	SALE	217	A	465.00	08447496	
06/30/1997	KCOOPER	137	SALE	203	A	605.00	08447496	
06/30/1997	KCOOPER	136	SALE	202	A	160.00	08447496	
10/11/1996	240EK	801	CRDA	202	A	40.00	08447496	
12/29/1995	280BA	4201	CRDA	102	A	78.00	08447496	
06/23/1995	300SC	3003701	CRDA	101	A	365.00	08447496	
06/22/1995	300SC	32202	CRDA	101	A	365.00	08447496	
06/22/1995	300SC	32201	CRDA	201	A	-365.00	08447496	
06/09/1995	270TL	10601	CRDA	201	A	365.00	08447496	

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Adjustment Detail

Authorization Detail



202 \$  
203 5  
217 1

Adjustment Date: 01/02/2001 CNGUYEN Nm/Nb: 08447496  
10/11/1996 240EK 000000801 FC:202 -40.00 0

Adjustment date: 01/02/2001 CNGUYEN  
06/30/1997 KCOOPER 00000101 08447496  
01 FC:202 -160.00 0P

Adjustment date: 01/02/2001 CNGUYEN  
06/30/1997 KCOOPER 00000101 08447496  
02 FC:203 -605.00 0P  
03 FC:217 -465.00 0P